

PATENT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): En-Hsing Chen et al.

Title: NAND MEMORY ARRAY INCORPORATING MULTIPLE SERIES
SELECTION DEVICES AND METHOD FOR OPERATION OF SAME

Application No.: 10/729,865

Filed: December 5, 2003

Examiner: Nguyen, Van Thu T.

Group Art Unit: 2824

Atty. Docket No.: 023-0029

September 20, 2004

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
37 C.F.R. § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO-1449 (1 pages), including copy(ies) of 2 reference(s).
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Pursuant to 1276 OG 55 (August 5, 2003), Information Disclosure Statements may be filed without copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

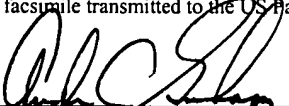
For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action

by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

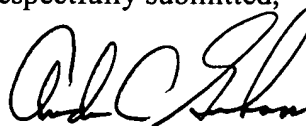
FEE AUTHORIZATION

- ☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

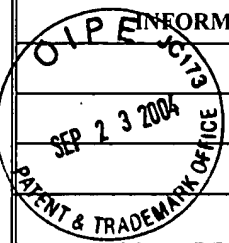
<u>CERTIFICATE OF MAILING OR TRANSMISSION</u>	
I hereby certify that, on the date shown below, this correspondence is being	
<input checked="" type="checkbox"/>	deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<input type="checkbox"/>	facsimile transmitted to the US Patent and Trademark Office.
 Andrew C. Graham	<u>9/20/04</u> Date

Respectfully submitted,



Andrew C. Graham, Reg. No. 36,531
Attorney for Applicant(s)
(512) 338-6313
(512) 338-6301 (fax)

EXPRESS MAIL LABEL: _____

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 023-0029		
				Application No.: 10/729,865		
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s): En-Hsing Chen et al.		
				Filing Date: December 5, 2003		
				Group Art Unit: 2824		
				Date Submitted: September 20, 2004		
U.S. Patent Documents						
*Examiner Initial		Document Number	Date	Name		
	AA	4,646,266	24 Feb 1987	Ovshinsky et al.		
	AB	5,514,907	07 May 1996	Moshayedi		
	AC	6,005,270	21 Dec 1999	Noguchi		
	AD	6,061,270	09 May 2000	Choi		
	AE	6,163,048	19 Dec 2000	Hirose et al.		
	AF	6,420,215	16 Jul 2002	Knall et al.		
	AG	6,456,528	24 Sep 2002	Chen		
	AH	US 2001/0055838 A1	27 Dec 2001	Walker et al.		
	AI					
	AJ					
	AK					
Foreign Patent Documents						
					Translation	
		Document	Date	Country	Yes	No
	AL	0 528 367 A1	24 Feb 1993	Europe		
	AM	0 575 051 A1	22 Dec 1993	Europe		
	AN					
	AP					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
	AR					
	AS					
	AT					
Examiner			Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.						